

International Conference on Mechanics and Ballistics

**“VIII Okunev’s Readings”**

25-28 June 2013. St. Petersburg, Russia

# Review of the Variational Principles in Different Fields of Physics

**Terekhovich Vladislav**

Department of Philosophy of Science and Technology

Saint-Petersburg State University, Russia

# The main ideas

1. Most part of physics is described by the variational or extremal principles for appropriate boundary conditions.
2. Most of these principles related to each other mathematically or via the analogy of mechanical, optical and wave phenomena.
3. Some of these principles can be related to quantum mechanics

**Why is it necessary in mechanics?**

**Understanding of the universality of variational principles may be useful in the learning process of students.**

# Physicists about the principle of least action

- The general relativity could be developed from the single variational principle (**Einstein, A.** (1965). *The Collection of scientific works. Moscow. Vol. 1, p. 524*).
- The principle of least action is a more universal law of nature than the law of conservation of energy and momentum, so it dominates above all reversible phenomena of physics (**Planck, M.** (1925). *The physical essays. Moscow. p. 95*).
- There are two great generalizations of science: the principle of least action and the second law of thermodynamics (**Eddington, A.** (2003). *Space, time and gravitation. Moscow. p. 149*).
- The principle of least action principle lies at the core of much of contemporary theoretical physics (**Moore, T. A.** (1996). *In the entry on “least-action principle” in Macmillan Encyclopedia of Physics. New York. Vol. 2, pp. 840–842*).

There are two questions:

**How the extremal principles are related to each other?**

**How these principles can be related to other laws of physics?**

# The general form of the variational principles (integral)

- (1) Actual motion (state) of system differs from any possible motions (states) under the given boundary conditions that the variation of the functional (action) of the system is zero.
- (2) The functional (action) is stationary, and it takes extremal value.
- (3) The functional (action) is computed as an integral of the state function (Lagrangian) of the system. It may be the time integral, the coordinate integral, the volume integral, or the space-time integral.

$$(1) \delta S = 0, (2) S = \min (\max), (3) S = \int L dt (ds, dV, d\Omega)$$

**What is the basis of the general form of the variational principles:**

**a) the convenience of calculations or b) the general nature of the phenomena?**

# Optical-mechanical analogy

## Principle of least action (PLA)

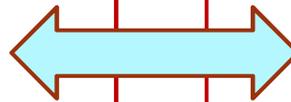
Action (mass x velocity x coordinate)  
= min

$$\delta \text{ Action} = 0$$

## Fermat's principle

Time of ray of light = min

$$\delta \text{ Time} = 0$$



**Why do body and light behave the same?**

**Why does nature saves the action (energy x time)?**

# Mechanical analogs of Fermat's principle

## Maupertuis' principle (PLA)

Action (trajectory integral) = min

$$\delta \text{ Action} = 0$$

## Hamilton's principle (PLA)

Action (time integral) = min

$$\delta \text{ Action} = 0$$

## Jacobi's principle

Action (geodesic integral) = min

$$\delta \text{ Action} = 0$$

## Hertz principle

Trajectory curvature = min

$$\delta \text{ Curvature} = 0$$

# Variational principles of mechanics (differential)

## Lagrange–d'Alembert principle

$$\text{Virtual Work} = 0$$

Sum of the Impressed Forces and the Inertial Forces  $\times \delta$  Displacement = 0

## Gauss's principle

$$\text{Constraint} = \min$$

Sum of the Impressed Forces and the Inertial Forces  $\times \delta$  Acceleration = 0

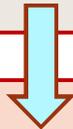
The optical-mechanical analogy can be extended to the field replacing the Lagrangian by Lagrangian density

# Variational principles for fields

## PLA of electromagnetic (E/B) field

Field's action (volume integral or the 4-dimensional space-time integral) = min

$$\delta \text{ Action} = 0$$



## PLA of charged particle in E/B field

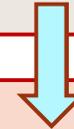
Field's action + charge's action + charge and field interaction's action = min

$$\delta \text{ Sum of actions} = 0$$

## PLA gravitational field

Field's action (volume integral or the 4-dimensional space-time integral) = min

$$\delta \text{ Action} = 0$$



## PLA of charged particle in gravitational field

Field's action + particle's action + particle and field interaction's action = min

$$\delta \text{ Sum of actions} = 0$$

# Towards quantum mechanics

**Fermat's principle**  
motion of light rays

**Hamilton's principle**  
motion of bodies

**Huygens' principle**  
motion of waves

*L. de Broglie,  
E. Schrödinger*

*P. Dirac,  
R. Feynman*

**the Analogy**

## Wave quantum mechanics

Quantum field's action (volume integral or the 4-dimensional space-time integral) = min

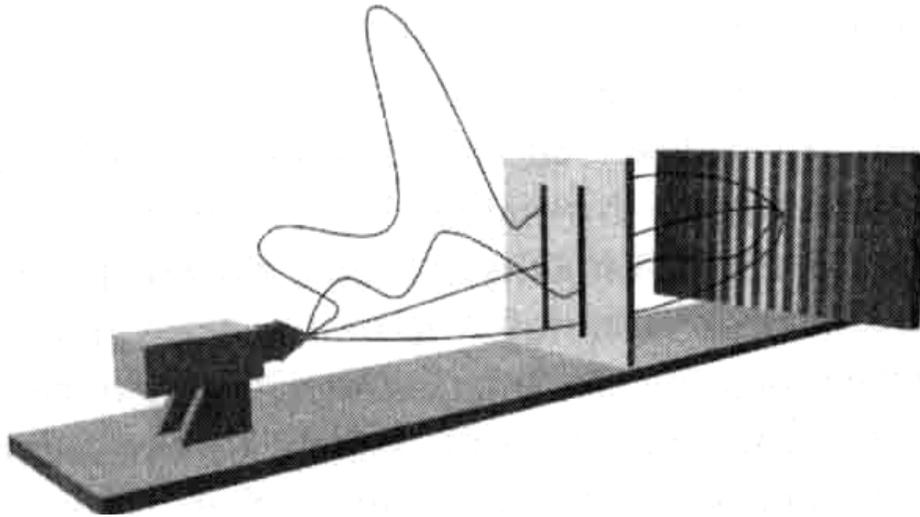
## Path integral method

Absolute squared of the sum of probability amplitudes of all possible trajectories = max

$$p = |K|^2 = \max$$

$$K(b,a) = \sum_{\substack{\text{all possible} \\ \text{path} \\ \text{from a to b}}} \varphi, \quad \varphi = \text{const} \cdot e^{jS/\hbar}$$

# Feynman's path integral method



## **TWO-SLIT EXPERIMENT:**

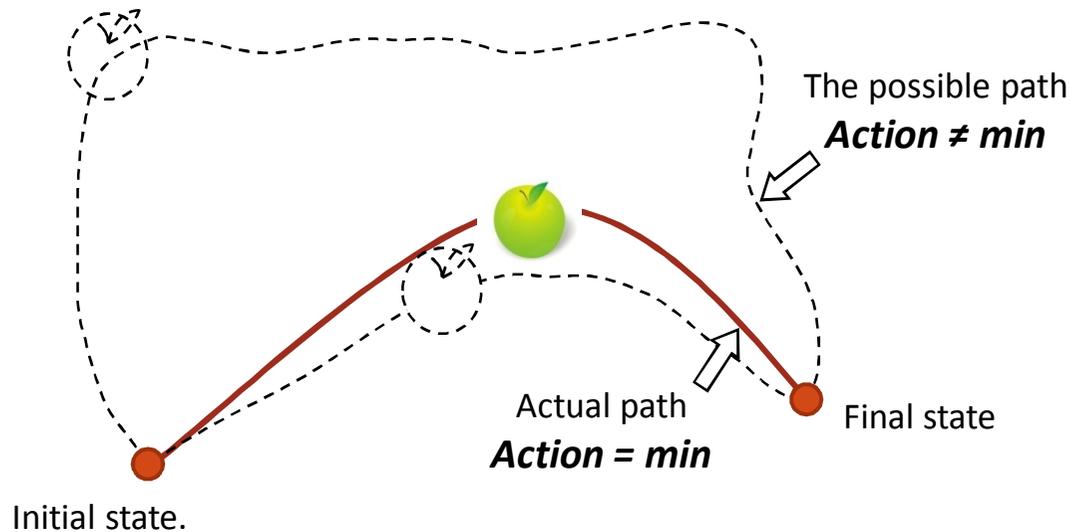
- A) the particle passes through both slits at once as a wave (Huygens)
- B) the particle moves along all possible (virtual) paths, and each path has own phase or the quantum action (Feynman)

- The true path of the particle is the sum (interference) of the phases of all possible paths
- The phase or action of the true path is minimal, and its probability is maximal. Other ways exist, but they extinguish each other and are not observed
- Each particle flew not only in both slits simultaneously, but also to the Moon

**What is the connection between quantum particles and classical objects?**

# Path Integral method for classical body

## The Moon



### We can imagine that :

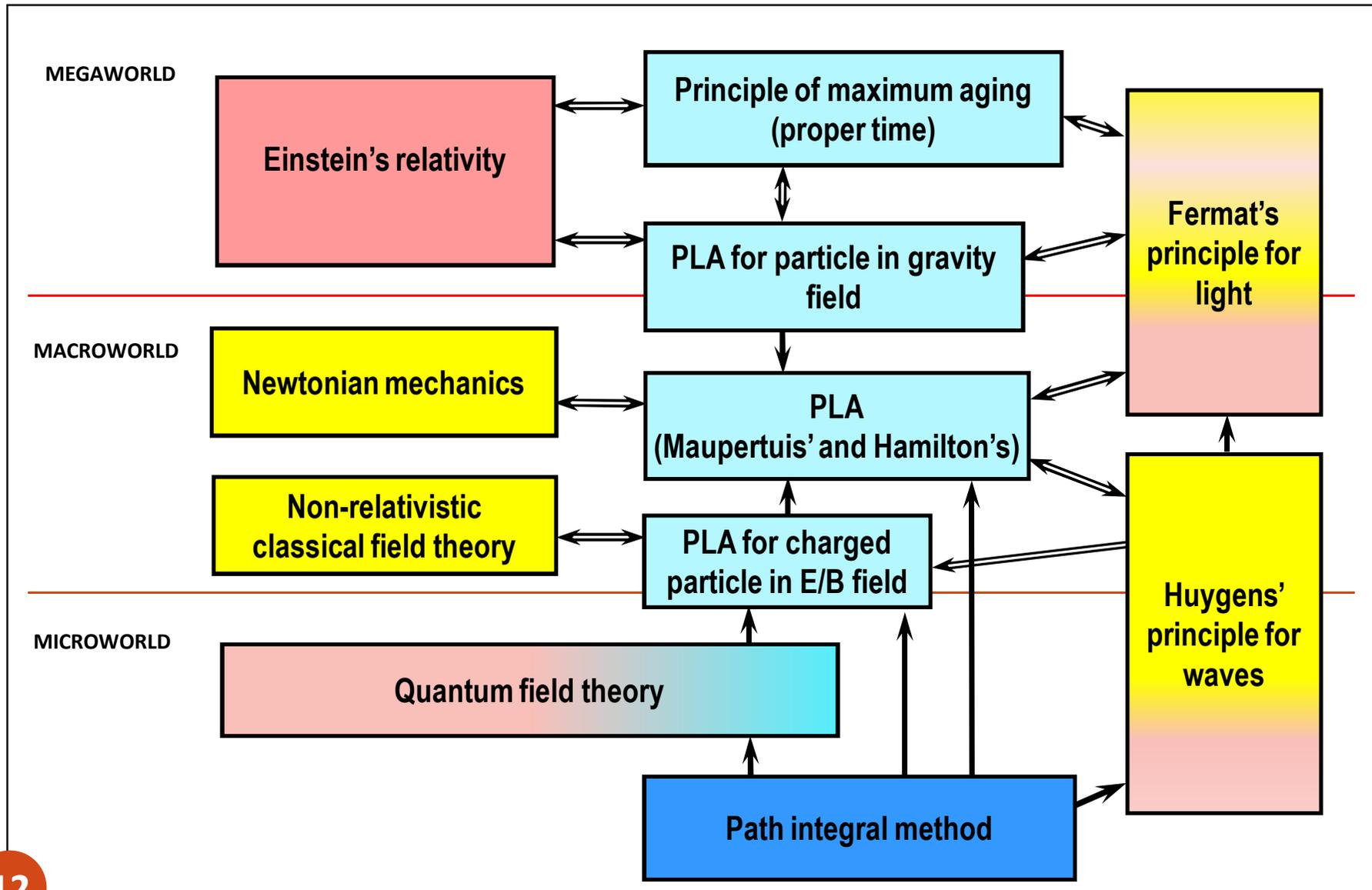
- the classical body behaves like a quantum particle, it flies along all the possible trajectories
- the true trajectory of the classical body is the sum of all possible trajectories (sum of their phases-actions)

### The actual trajectory of the classical body can be calculated by several ways:

- through the forces of gravity and inertia (classical mechanics)
- through the potentials of the fields (field theory)
- through the geodesic lines in curved space-time (General Relativity)
- through the action (Hamilton's principle)

**How do these methods of calculating are connected with the path integral method?**

# The relationship the variational principles (central column) with other principles of motion



# The main conclusions

1. Most part of physics can be described in terms of variational or extremal principles for appropriate boundary conditions.
2. Most of these principles related to each other mathematically or via the analogy of mechanical, optical and wave phenomena. Most of them can be obtained through each other with different assumptions.
3. There is reason to believe that these principles are limiting cases of the quantum path integral method, and they can be summarized by the principle of maximum probability.

**Why is it necessary in mechanics?**

**Understanding of the universality of variational principles may be useful in the learning process of students.**

# Related links

- Feynman, R. (1965). *The Character of Physical Law*. The M.I.T. Press.
- Feynman, R. P., & Hibbs, A. R. (1965). *Quantum mechanics and path integral*. New York: McGraw-Hill.
- Brizard, A. J. (2008). *An Introduction to Lagrangian Mechanics*. Singapore: World Scientific.
- Goldstein, H., Poole, C., & Safko, I. (2002). *Classical Mechanics*, 3rd edition. New York: Addison-Wesley.
- Polak, L. S. (Ed.) (1959). *Variational principles of mechanics*. Moscow: Fizmatgiz (in Russian).
- Polak, L. S. (2010). *Variational principles of mechanics: their development and application in physics*. Moscow (in Russian).
- <http://www.eftaylor.com/leastaction.html> - website of Edwin F. Taylor
- Terekhovich, V. E. (2013). Philosophical and methodological problems of the principle of least action, Ph. D. Dissertation, Saint-Petersburg State University, Russia (in Russian).
- Terekhovich, V. E. (2012). Probabilistic and Geometric Languages in the Context of the Principle of Least Action. *Philosophy of Sciences (Novosibirsk)*, 1, 80-92. *arXiv preprint arXiv:1210.1176*.

***Action*** is the key to whole physics!

THANK YOU

[v.terekhovich@gmail.com](mailto:v.terekhovich@gmail.com)

ResearcherID: O-1251-2013

[www.vtpapers.ru](http://www.vtpapers.ru)